# **Advanced PCFG Parsing**

Computational Linguistics

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# Today

- Semiring parsing.
- Pruning techniques for chart parsing.

# Semiring parsing

- We have seen a number of algorithms on CKY charts that all look basically the same.
  - decide word problem
  - compute best parse
  - compute inside probabilities
  - compute number of parse trees
- What exactly do they have in common?
   Can we use it to build better algorithms?

# **CKY for recognition**

```
for each i from 1 to n:
  for each production rule A \rightarrow w_i:
    Ch(A, i, i+1) = true
for each width b from 2 to n:
  for each start position i from 1 to n-b+1:
    for each left width k from 1 to b-1:
      for each production rule A → B C:
        Ch(A, i, i+b)
          = Ch(A, i, i+b) v
             (Ch(B, i, i+k) \wedge Ch(C, i+k, i+b) \wedge true)
return Ch(S, 1, n+1)
```

### Viterbi-CKY

```
for each i from 1 to n:
  for each production rule A \rightarrow w_i:
    Ch(A, i, i+1) = P(A \rightarrow w_i)
for each width b from 2 to n:
  for each start position i from 1 to n-b+1:
    for each left width k from 1 to b-1:
      for each production rule A → B C:
         Ch(A, i, i+b)
           = \max(Ch(A, i, i+b),
                  Ch(B, i, i+k) * Ch(C, i+k, i+b) * P(A \rightarrow B C))
return Ch(S, 1, n+1)
```

### Inside

```
for each i from 1 to n:
  for each production rule A \rightarrow w_i:
    Ch(A, i, i+1) = P(A \rightarrow w_i)
for each width b from 2 to n:
  for each start position i from 1 to n-b+1:
    for each left width k from 1 to b-1:
      for each production rule A → B C:
         Ch(A, i, i+b)
           = Ch(A, i, i+b) +
             (Ch(B, i, i+k) * Ch(C, i+k, i+b) * P(A \rightarrow B C))
return Ch(S, 1, n+1)
```

### Counting

```
for each i from 1 to n:
  for each production rule A \rightarrow w_i:
    Ch(A, i, i+1) = 1
for each width b from 2 to n:
  for each start position i from 1 to n-b+1:
    for each left width k from 1 to b-1:
      for each production rule A → B C:
        Ch(A, i, i+b)
          = Ch(A, i, i+b) +
            (Ch(B, i, i+k) * Ch(C, i+k, i+b) * 1)
return Ch(S, 1, n+1)
```

## Semirings

- A semiring is a 5-tuple consisting of
  - a nonempty set V of values
  - ▶ an *addition*  $\oplus$  : V × V  $\rightarrow$  V, associative and commutative
  - ▶ a *multiplication*  $\otimes$  : V × V → V, must be associative and distribute over  $\oplus$
  - ▶ an *abstract zero*  $0 \in V$  such that  $0 \oplus v = v \oplus 0 = v$  and  $0 \otimes v = v \otimes 0 = 0$ , for all v
  - ▶ an *abstract one*  $1 \in V$  such that  $1 \otimes v = v \otimes 1 = v$ , for all v

A semiring where ⊕ has inverse elements is called a *ring* — really important in math, but not so much in this course.

# Some important semirings

	values	addition	multiplication	zero	one
counting	$N_0$	+	*	0	1
boolean	{true, false}	>	^	false	true
Viterbi	[0, 1]	max	*	0	1
inside	$[0,\infty]$	+	*	0	1

# Semiring parsing

• We are interested in calculating value V(w) for the string out of values R(r) for the individual rules:

$$V(w) = \bigoplus_{t \in \mathcal{T}(w)} V(t)$$

$$= \bigoplus_{t \in \mathcal{T}(w)} \bigotimes_{r \in \mathcal{T}(w)} R(r)$$

For any semiring, we can do this CKY-style:

$$V(A, i, i + 1) = R(A \to w_i)$$

$$V(A, i, k) = \bigoplus_{\substack{A \to B \ C \\ i < j < k}} V(B, i, j) \otimes V(C, j, k) \otimes R(A \to B C)$$

### Generic CKY with semirings

assume evaluation function R: rules  $\rightarrow$  V

```
for each i from 1 to n:
  for each production rule A \rightarrow w_i:
    Ch(A, i, i+1) = R(A \rightarrow W_i)
for each width b from 2 to n:
  for each start position i from 1 to n-b+1:
    for each left width k from 1 to b-1:
      for each production rule A → B C:
         Ch(A, i, i+b)
           = Ch(A, i, i+b) \oplus
              (Ch(B, i, i+k) \otimes Ch(C, i+k, i+b) \otimes R(A \rightarrow B C))
return Ch(S, 1, n+1)
```

This generalizes all the variants we saw above.

## Parsing Schemata

- Parsing algorithm derives claims about the string. Record such claims in parse items.
- At each step, apply a *parsing rule* to infer new parse items from earlier ones.
- If there is a way to derive a *goal item* from the *start item(s)* for a given input string, then claim that this string is in the language.

# **Examples for schemas**

	CKY	shift-reduce
items	(A, i, k)	(s,w')
claims	$A \Rightarrow^* w_i \dots w_{k-1}$	$s w' \Rightarrow^* w$
rules	$A \rightarrow B C  (B, i, j)  (C, j, k)$ $(A, i, k)$	$\frac{(s, a \cdot w')}{(s \cdot a, w')}$ (shift) $\frac{(s \cdot s', w')  A \rightarrow s' \text{ in P}}{(s \cdot A, w')}$ (reduce)
start items	(A, i, i+1) if $A \rightarrow w_i$	$(\varepsilon, w)$
goal items	(S,1,n+1)	$(S, \varepsilon)$

## Implementing schemas

- Can generally implement parser for given schema in the following way:
  - maintain an *agenda*: queue of items that we have discovered, but not yet attempted to combine with other items
  - maintain a *chart* of all seen items for the sentence

```
initialize chart and agenda with all start items

while agenda not empty:
    item = dequeue(agenda)
    for each combination c of item with other item in the chart:
        if c not in chart:
            add c to chart
            enqueue c in agenda

if chart contains a goal item, claim w ∈ L(G)

rules of parsing schema used here

schema used here

essential to do
        this efficiently
```

## Example

#### agenda:

#### chart:

	2	3	4	5
8	VP	NP	N	PP
5	VP	NP	N	
4		Det		
3	V			

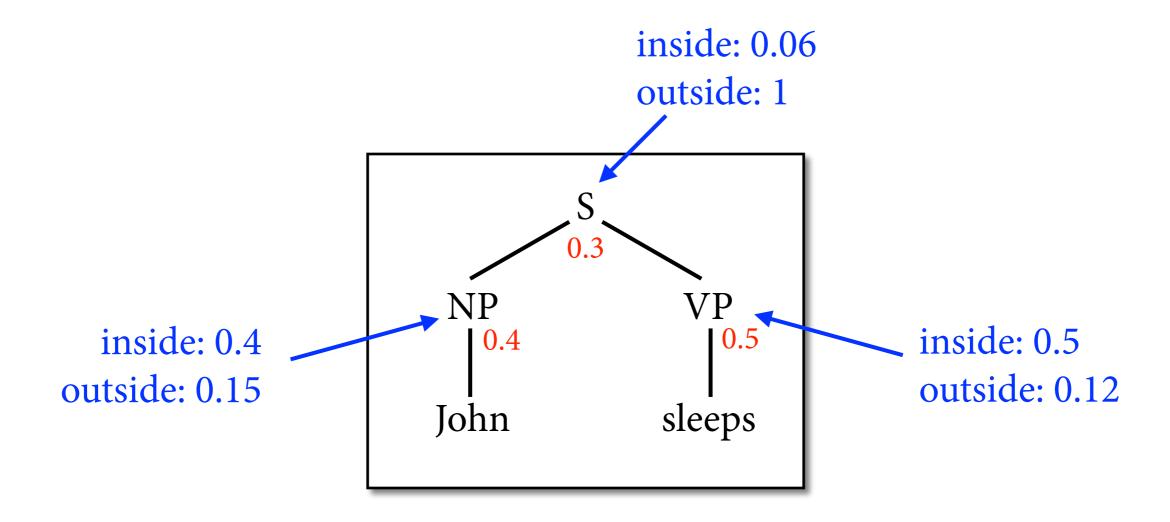
 $VP \rightarrow V NP$   $NP \rightarrow Det N$   $N \rightarrow N PP$ 

$$\frac{A \rightarrow B C \quad (B, i, j) \quad (C, j, k)}{(A, i, k)}$$

# Pruning techniques

- If grammar is big and sentence is not short, computing the full chart is expensive.
  - runtime of CKY is  $O(|G| * n^3)$
  - for treebank grammars, almost every substring can be derived from some nonterminal
- Most chart entries not used to build best parse tree.
- *Pruning*: avoid computing the full chart
  - ▶ beam search: limit number of entries per chart cell
  - best-first search: manipulate order in which items are taken from the agenda

### Inside and outside probs



- For each individual parse tree, the product of inside and outside probabilities is same at every node.
- ▶ If we could calculate (inside \* outside) for each chart item, then we could focus search on just the items that are needed for best parse.

# Figures of Merit

- Challenge in bottom-up parsing:
  - We can easily compute (Viterbi) inside of each item. (Viterbi inside =  $\max P(t)$ ; inside =  $\sum P(t)$ .)
  - ▶ We cannot easily compute (Viterbi) outside, because we haven't combined item with other words yet.
- Idea: estimate (inside \* outside) with a *figure of merit* (FOM) of the parse item.
  - ▶ FOM = Viterbi inside prob: underestimates quality of long substrings
  - ► FOM = (Viterbi inside)¹/|substring|: works okay in practice, but still ignores outside probs

### Beam search

- In CKY parsing, easiest way of using FOMs is beam search:
  - fix a number *k* of nonterminals that can be stored in each chart cell
  - ▶ only retain the *k* nonterminals with the best FOM
  - variant: only retain the nonterminals whose FOM is at least  $\theta * f$ , where f is FOM of best nonterminal in same cell
- Beam search very standard technique in parsing and machine translation (including decoding of neural network outputs).

# **Best-first parsing**

- Idea: Agenda contains parse items (A, i, k); order them in descending order of their FOMs.
- If FOM were perfect, then first discovered goal item represents the best parse, and many unexplored items still on agenda ⇒ faster parser.
- If FOM is not perfect, parser can make *search errors*: first discovered goal item is not optimal.
  - can still be much faster than exhaustive parsing
  - accuracy depends on quality of FOM

# A\* parsing

- A\* search: general method for heuristic search in AI
  - ► FOM h = (distance f from start) + (estimated distance g to goal)
  - g must *underestimate* distance, i.e. never be larger than true distance
  - guarantees that first path to goal we find is optimal
- Apply this to parsing (Klein & Manning 03):
  - f = log inside
  - ightharpoonup g = estimate of log outside

### Outside estimates

Estimate	SX	SXL	SXLR	TRUE
Summary	(1,6,NP)	(1,6,NP,VBZ)	(1,6,NP,VBZ,",")	(entire context)
Best Tree	S PP , NP VP . IN NP   DT JJ NN VBD	S VP  VBZ NP PP  IN NP  DT NNP NNP NNP NNP  VBZ NP ? ? ? ? ?	S VP  VBZ  NP  NP, CC  NP,  DT JJ NN  VBZ NP, ? ? ? ? ?	S S NP VP PRP VBZ NP VBZ NP DT NN UBZ NP VBZ NP VBZ NP VBZ NP VBZ NP VBZ NP NN NN NN NN ND NN NN ND NN NN ND NN NN
Score	-11.3	-13.9	-15.1	-18.1
	(a)	(b)	(c)	(d)

- Represent each parse item with a *summary*, which abstracts over the concrete sentence we are parsing.
- ▶ Compute outside estimates for each possible summary from grammar, before we start parsing actual sentences.

# A\* parsing: Results

Estimate	Savings	w/ Filter	Storage	Precomp
NULL	11.2	58.3	0K	none
S	40.5	77.8	2.5K	1 min
SX	80.3	95.3	5M	1 min
SXL	83.5	96.1	250M	30 min
$S_1XLR$	93.5	96.5	500M	480 min
SXR	93.8	96.9	250M	30 min
SXMLR	94.3	97.1	500M	60 min
В	94.6	97.3	1G	540 min

# Coarse-to-fine parsing

- Idea: make coarser-grained grammar by combining "similar" nonterminals into one (Charniak et al. 06).
  - combine S, VP, S-bar, etc. into "S\_"
  - ▶ combine S\_ and N\_ into "HP" (head phrase); etc.
- Compute complete parse chart with coarse-grained grammar; calculate exact inside and outside.
- Prune out entries with low inside \* outside.
   Refine the others, then repeat until we have chart of original grammar.

# CTF parsing: Results

Level	Constits	Constits	% Pruned
	Produced	Pruned	
	$*10^{6}$	$*10^{6}$	
0	8.82	7.55	86.5
1	9.18	6.51	70.8
2	11.2	9.48	84.4
3	11,8	0	0.0
total	40.4	_	_
3-only	392.0	0	0

Figure 5: Total constituents pruned at all levels for WSJ section 23, sentences of length  $\leq 100$ 

Level	Time for Level	Running Total
0	1598	1598
1	2570	4168
2	4303	8471
3	1527	9998
3-only	114654	_

Figure 6: Running times in seconds on WSJ section 23, with and without pruning

... at no loss in f-score with their grammar.

### Summary

- PCFG parsing one of the most successful fields of NLP research.
- Current parsers are fast and quite accurate.
  - in practice, most people use Berkeley or Stanford parser for good speed-accuracy-convenience tradeoff
- Techniques from PCFG parsing carry over to many other problems in computational linguistics.